

Progress Rail

A Caterpillar Company



Heavy-Haul Train Automation

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Caterpillar Automation



FLEET analyzes operational and equipment data up and down your value chain—so you can run a leaner operation and improve everything from equipment scheduling and material movement to fuel monitoring and cycle times.



TERRAIN gives you and your operators guidance tools and live feedback to boost utilization, reduce variability and work according to plan—so you can drill, dig, load and grade more safely, accurately, consistently and efficiently.



DETECT helps prevent collisions and increase operator confidence—so you can send people home safely and reduce the costs of lost time and damaged equipment.



HEALTH delivers machine condition data for your fleet—so you can head off small problems while they're still small, run machines as efficiently as possible for as long as possible and keep unplanned downtime to a minimum.



COMMAND lets you apply the right level of automation, from operator assistance and remote control to semi- and full autonomy—so you can keep your people safe, your operation efficient and your equipment running.



**Milestone achieved in 2018 – 1 billion tons hauled with Autonomous trucks.
Number of deployed trucks > 400 in 2020.**

Progress Rail Offerings

ROLLING STOCK

INFRASTRUCTURE



LOCOMOTIVES

New Locomotives

Locomotive Parts & Service

Power & Marine



TRANSIT

New Passenger Locomotives

Transit Maintenance



FREIGHT CAR

Wheels, Axles, Bearings & Parts

Freight Car Repair

Leasing



TRACK

Trackwork & Fasteners

Rail Welding

Maintenance-of-Way & Vegetation Equipment



SIGNAL

Signal Engineering

Signal Infrastructure

Advanced Rail Technologies

Recycling

Rail Automation Overview

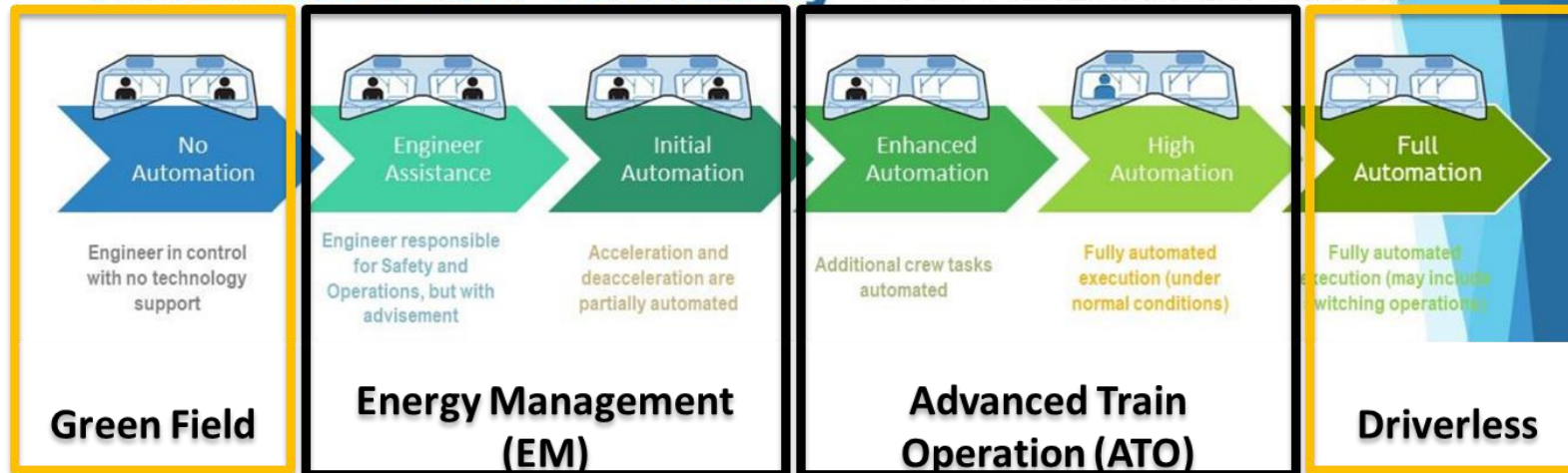
Advanced Train Operation (ATO)

- Attended Automation of EM, Air Brake, and stop to stop.
- Eliminates operation variability and increases network velocity.
- Provides a platform for additional ATO ecosystem technologies e.g. network planning/optimization.
- Requires safety overlay and advanced signaling functions.

Energy Management (EM)

- Ability to model the train operating environment to build an optimal control strategy.
- Automation of Throttle and Dynamic Brakes.
- Limits operation variability.
- Improves fuel/energy consumption.

Automated Rail Taxonomy- AAR Automation Levels



Progress Rail is building the **Next Gen Train Automation** enabling better and faster **EM and ATO** deployments

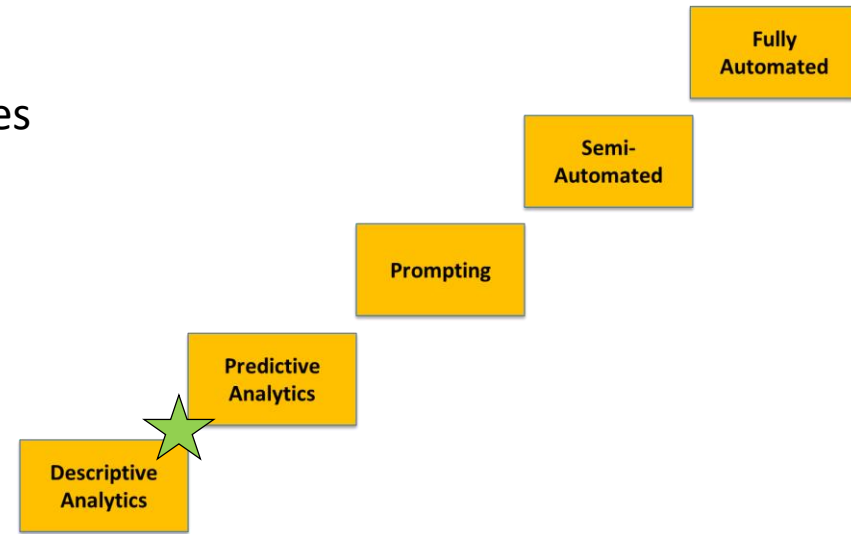
Initial projects

Descriptive & Predictive Analytics

Challenges to deployment of train automation

- **Data acquisition**
- **Signal conditioning**
- **Communication links**
- **Freight operation** traction and braking complexities
- **Locomotive variability** display & control
- **Operation Variability** - weather, trains, track conditions, etc.
- **Labor relations** & change management

IOT for all locomotives types



Early 2000s

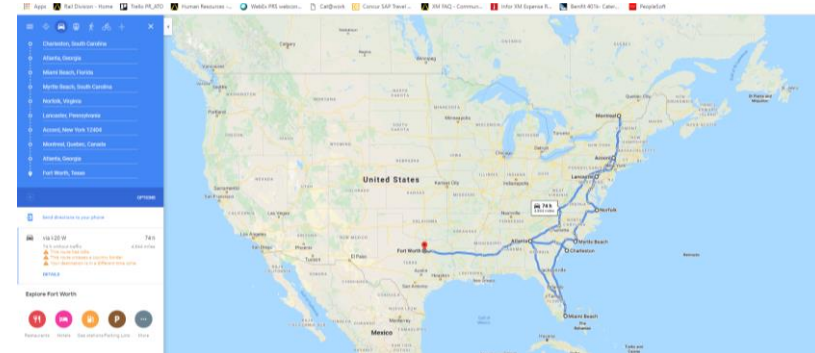
Initial deployments focused on providing train physics information about ITF, AB, Train Location, Track Profile

Operation Complexity

Traction & Braking

Passenger Trains Automation Milestones:

- The first line to be operated with Automatic Train Operation (**ATO**) was London Underground's Victoria line, which **opened in 1967**.
- The first fully automated **driverless mass-transit** rail network is the Port Island Line in Kobe, Japan **opened in 1981**.



Locomotive engineers manage the tractive and braking energies of the train to minimize in-train forces while meeting operational objectives.

Energy Management

AutoControl

Challenges to deployment of train automation

- ✓ **Data acquisition**
- ✓ **Signal conditioning**
- ✓ **Communication links**
- ✓ **Freight operation** traction and braking complexities
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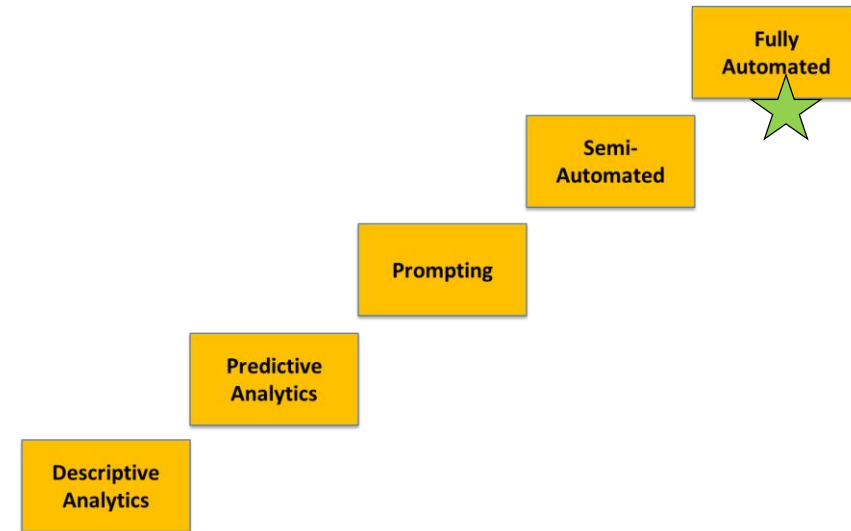
Industry harmonization: Locomotive Command and Control interface, Locomotive Interface gateway, train models.

Automated Train Operation

Attended & Driverless ATO

Challenges to deployment of train automation

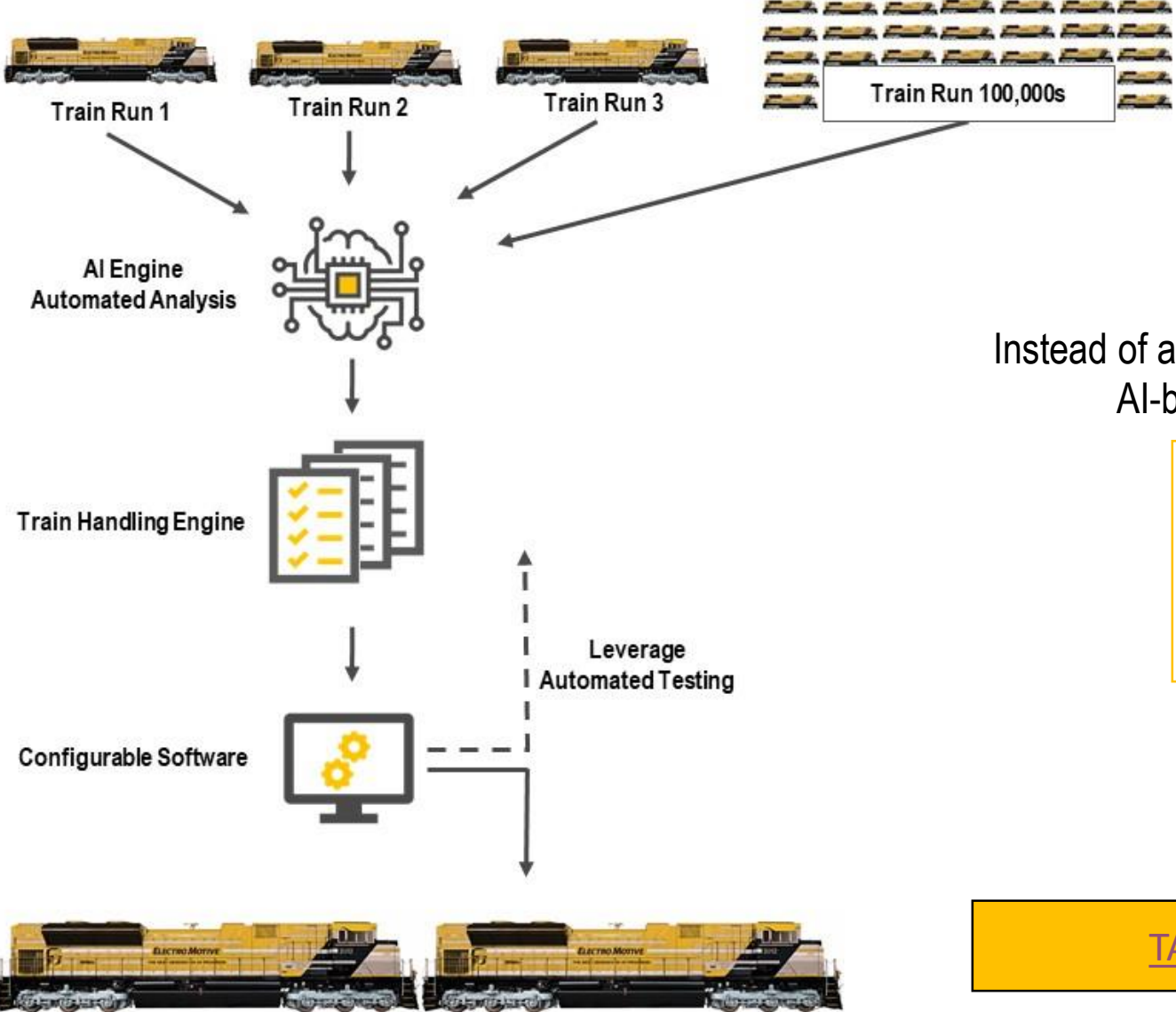
- **Operation Variability** - weather, trains, track conditions, etc.
- **Labor relations & change management.**
- **Sensor Fusion** (camera, Lidar, radar, etc.)
- **Machine Vision**
- **Safety requirements**



2020s and beyond

**Attended ATO is the goal for the Class 1s.
Mining are interested in driverless train operation.**

Artificial Intelligence Engine



Instead of a rule-based approach, Progress Rail's AI-based approach excels due to:

- **Automated** system
- Improved **operation**
- **Faster** deployment
- **Adaptable** and **scalable**
- Better **quality** and **safety**

[TALOS® Overview Video](#)

Automation Business Case



- ✓ **Network Capacity & Velocity**
- ✓ Asset Utilization (Track and Equipment)



- ✓ **Fuel Savings**



- ✓ **Emissions credit**



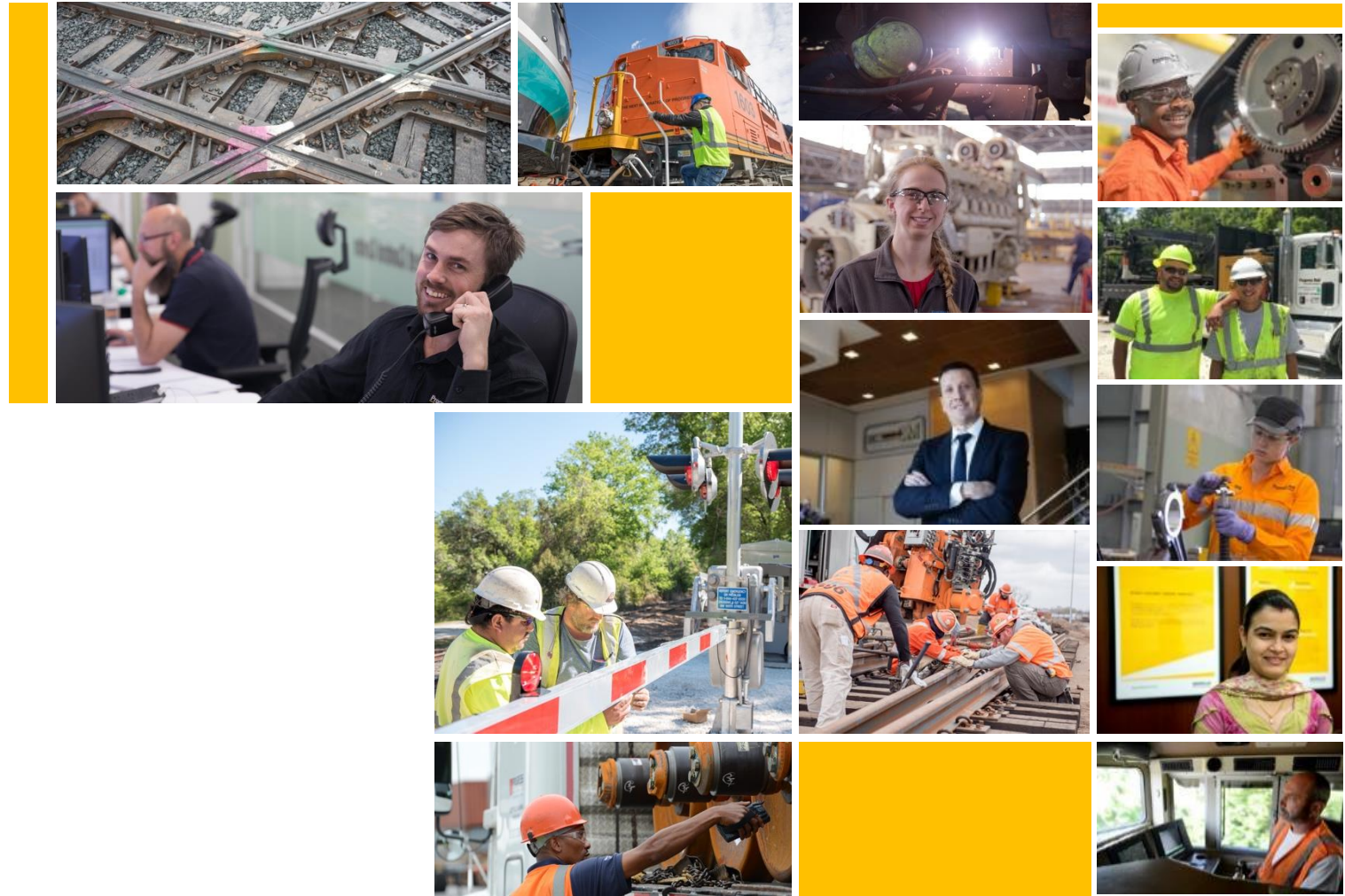
- ✓ Ability to meet customer expectation of “owning” the **performance** of our fleets
- ✓ **Reliability** improvements (reduced operator variability)



- ✓ Greenhouse gas reduction
- ✓ **Enhanced Safety**

Rail Automation requires upgrades to the locomotives, the signaling system, and wayside equipment. PR has the technologies and the expertise to fully handle the Automation deployments across all GoA.

THANK YOU



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